



COPY OF PAPERS  
NEEDS  
ORIGINALLY FILED

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: SCOTT JOHNSON ET AL.

Filed: JUNE 12, 2001

For: SYSTEMS AND METHODS FOR MANAGING  
DIFFERENTIATED SERVICE IN INFORMATION  
MANAGEMENT ENVIRONMENTS

Serial No.: 09/879,811

Examiner: UNKNOWN

Atty Dkt: SURG:148

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

4-18-02 Marty Berry  
Date Name

Assistant Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

RECEIVED

MAY 20 2002

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. In the title, please change "enviornments" to -environments--.

A copy of the original filing receipt is attached, with the requested change(s) indicated in red.

Because the error is not due to any error by Applicant(s), no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to this document, the

**COPY OF PAPERS  
ORIGINALLY FILED**

Assistant Commissioner for Patents and Trademarks

Page 2

commission is hereby authorized to deduct said fee from Deposit Account 10-1205/SURG:148.

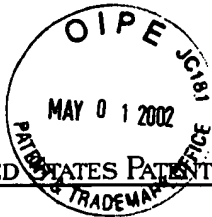
Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



William W. Enders  
Reg. No. 41,735  
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.  
1101 Capital of Texas Highway South  
Building C, Suite 200  
Austin, Texas 78746  
512-347-1611  
512-347-1615 (FAX)



**COPY OF PAPERS  
ORIGINALLY FILED**

Page 1 of 2

**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/879,811	06/12/2001	2171	1797	SURG:148	25	148	8

**CONFIRMATION NO. 3704**

**RECEIVED FILING RECEIPT**



O'Keefe, Egan & Peterman, LLP

O'KEEFE, EGAN & PETERMAN, L.L.P.  
Building C, Suite 200  
1101 Capital of Texas Highway South  
Austin, TX 78746

Date Mailed: 04/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Scott C. Johnson, Round Rock, TX;  
Robert B. Fernander, Austin, TX;  
Neal D. Hartsell, Austin, TX;  
Gregory J. Jackson, Dripping Springs, TX;  
Chaoxin C. Qiu, Austin, TX;  
Roger K. Richter, Leander, TX;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/797,200 03/01/2001  
WHICH CLAIMS BENEFIT OF 60/246,401 11/07/2000  
AND CLAIMS BENEFIT OF 60/187,211 03/03/2000  
AND CLAIMS BENEFIT OF 60/285,211 04/20/2001  
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 09/12/2001

Projected Publication Date: 07/18/2002

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**RECEIVED**  
MAY 20 2002  
Technology Center 2100

Title

Systems and methods for managing differentiated service in information management  
~~environments~~

Preliminary Class

707

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

FILE COPY



Bib Data Sheet

CONFIRMATION NO. 3704

<b>SERIAL NUMBER</b> 09/879,811	<b>FILING DATE</b> 06/12/2001 <b>RULE</b>	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2171	<b>ATTORNEY DOCKET NO.</b> SURG:148
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**

Scott C. Johnson, Round Rock, TX;  
Robert B. Fernander, Austin, TX;  
Neal D. Hartsell, Austin, TX;  
Gregory J. Jackson, Dripping Springs, TX;  
Chaoxin C. Qiu, Austin, TX;  
Roger K. Richter, Leander, TX;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/797,200 03/01/2001  
WHICH CLAIMS BENEFIT OF 60/246,401 11/07/2000  
AND CLAIMS BENEFIT OF 60/187,211 03/03/2000  
AND CLAIMS BENEFIT OF 60/285,211 04/20/2001  
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***

\*\* 09/12/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 25	<b>TOTAL CLAIMS</b> 148	<b>INDEPENDENT CLAIMS</b> 8
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

O'KEEFE, EGAN & PETERMAN, L.L.P.  
Building C, Suite 200  
1101 Capital of Texas Highway South  
Austin, TX 78746

**TITLE**

Systems and methods for managing differentiated service in information management environments

<b>FILING FEE RECEIVED</b> 1797	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____